IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of:

WARREN M. FARNWORTH SALMAN AKRAM

Serial No. 09/266,237

Art Unit: 2829

Filing Date: March 10, 1999

Examiner: KOBERT, R.

For:

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SEMICONDUCTOR COMPONENTS AND

METHOD OF FABRICATION

Attorney Docket No. 97-1433

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 APRIL 26, 2004

MAIL STOP AMENDMENT (NON FEE) Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed on the accompanying Form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

04/30/2004 FMETEKI1 00000047 09266237

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Respectfully submitted:

Stephen A. Gration, Registration No. 28,418

Attorney of Record

2764 South Braun Way Lakewood, CO 80228 Telephone: 303 989 6353

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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tephen A. Graffon, Attorney of Record

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	09/266,237		
Filing Date	03/10/1999	_	
First Named Inventor	WARREN M. FARNWORTH	_	
Art Unit	2829		
Examiner Name	KOBERT, R.		
Attorney Docket Number	97-1433		

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	^{US-} 5,936,847	08/10/1999	Kazle	
		US-			

 		<u>IGN PATENT DOCU</u>	MENTS		
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
 	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	ĩ
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Examiner	······································	Date	
Signature		Considered	•

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

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EE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

SUBMITTED BY

Name (Print/Type)

Signature

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Co	omplete if Known
Application Number	09/266,237
Filing Date	03/10/1999
First Named Inventor	WARREN M. FARNWORTH
Examiner Name	KOBERT, R.
Art Unit	2829
Attorney Docket No.	97-1433

(Complete (if applicable))

Telephone

303 989 6353

4/26/2004

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit card Money Other None					
Deposit Account:	Large Entity Small Entity				
Denosit	Fee Fee Fee Fee Fee Description Code (\$)	Fee Paid			
Account Number 07-1857	1051 130 2051 65 Surcharge - late filing fee or oath	ree Falu			
Deposit Account Stephen A. Gratton	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet				
Name The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification				
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination				
Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR prior to Examiner action				
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action				
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month				
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month				
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month				
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1,480 2254 740 Extension for reply within fourth month				
1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month				
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal				
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal				
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing				
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$)	1452 110 2452 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,330 2453 665 Petition to revive - unintentional				
Fee from	1501 1,330 2501 665 Utility issue fee (or reissue)				
Total Claims	The second secon				
Independent	1503 640 2503 320 Plant issue fee				
Claims - 3 - 3 - 4 - 4 - 4 - 4 - 4 - 4 - 4 - 4	1460 130 1460 130 Petitions to the Commissioner				
Large Entity Small Entity	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)				
Fee Fee Fee Fee Description	1806 180 1806 180 Submission of Information Disclosure Stmt	180			
Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)				
1201 86 2201 43 Independent claims in excess of 3	1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))				
1203 290 2203 145 Multiple dependent claim, if not paid	•				
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770 2801 385 Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application				
	Other fee (specify)				
SUBTOTAL (2) (\$) **or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	180			
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Registration No.

(Attorney/Agent)

28,418